

### VOLUNTEER APPLICATION AND SERVICE AGREEMENT COVER SHEET

Incomplete applications, including failure to disclose accurate information regarding any/all criminal convictions, will be **automatic grounds for denial** of your application. If you have any questions or need assistance with this application packet, please contact the Community Resources Manager prior to submission.

#### **Please provide all information requested below**

New Volunteer     Renewal     Gate Clearance     Brown Card

Volunteer Applicant: \_\_\_\_\_

Institution: \_\_\_\_\_

Service Group Name(s): \_\_\_\_\_

For Renewals, include length of continuous volunteer service (example, 1 yr. 5 yr. etc.) \_\_\_\_\_

**Attachment A:** CDCR 966 (Rev. 01/21) Volunteer Application and Service Agreement

**Shall include the following attachments:** Resumes, Employment History, Academic Enrollments, Community Participation Certifications, Licenses, Ordination Documents, or Reference Letters to Wardens.

**Attachment B:** CDCR 181 (Rev. 10/14) Primary Laws, Rules, and Regulations Regarding Conduct and Association with State Prison Inmates.

**Attachment C:** CDCR 894 (09/19) Emergency Notification Information

**Attachment D:** CDCR 7336 (Rev. 03/20) Employee Tuberculin Skin Test (TST) and Evaluation

**Attachment E:** CDCR 7354 (Rev. 07/15) TB Infectious Free Staff Certification

**Attachment F:** CDCR 1049 (08/08) Certification of Volunteer Participation

**Attachment G:** CDCR 8019 (06/20) Nepotism and Fraternalization Policy Acknowledgment

**Attachment H:** CDCR 2301 (Rev. 05/20) PREA Policy Information for Volunteers and Contractors Part A

**Attachment I:** STD 910 (Rev. 10/2019) Essential Functions Health Questionnaire

**Attachment J:** CDCR 1887 (Rev. 08/08) Parent Consent for Participation (if applicable)

**Attachment K:** CDCR 3056 (Rev. 07/20) Request for Live Scan Service

**All of the above forms must be submitted with this packet.**

Volunteer Signature: \_\_\_\_\_ Date: \_\_\_\_\_

**\*\*Please note specific additional information/forms may be required at various Institutions\*\***

Volunteer Applicant: \_\_\_\_\_

Institution: \_\_\_\_\_

**INSTITUTION USE ONLY**

NEW VOLUNTEER  RENEWAL

**VOLUNTEER APPLICATION AND SERVICE AGREEMENT**

**SECTION I: To be Completed by Applicant (PRINT CLEARLY)**

Name \_\_\_\_\_ Date of Birth: \_\_\_\_\_  
First MI Last (MM/DD/YYYY)

Address: \_\_\_\_\_  
Number and Street Apt. # City State Zip

Email (optional): \_\_\_\_\_

SSN# (optional): \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_ State Driver's License or Identification # (required): \_\_\_\_\_ Exp.: \_\_\_\_\_

Passport# \_\_\_\_\_ (If applicable) Exp. Date: \_\_\_\_\_

Phone # (required): ( ) \_\_\_\_\_ - \_\_\_\_\_ Cell #: ( ) \_\_\_\_\_ - \_\_\_\_\_ Fax # (optional): ( ) \_\_\_\_\_ - \_\_\_\_\_

Gender:  Male  Female Height: \_\_\_\_\_ Weight: \_\_\_\_\_ Eye Color: \_\_\_\_\_ Hair Color: \_\_\_\_\_

Occupation: \_\_\_\_\_

Special Skills/Certificates: \_\_\_\_\_

Name and address of company/church/organization you will represent as a volunteer (If applicable): \_\_\_\_\_

1. Have you submitted Live Scan fingerprints to CDCR in the past?  No  Yes (If yes, provide date and location/institution.)  
\_\_\_\_\_
2. Do you provide volunteer service at any other CDCR institution?  No  Yes (If yes, provide date and location/institution.)  
\_\_\_\_\_
3. Do you visit and/or correspond with any inmates at any other CDCR institution?  No  Yes (If yes, explain fully and provide inmate name(s), CDCR number(s) and institution(s), attach additional sheets, as needed).  
\_\_\_\_\_  
\_\_\_\_\_
4. Are you related to any inmate(s) at any CDCR institution?  No  Yes (If yes, explain fully and provide inmate(s) name(s), CDCR number(s) and institution(s) include additional sheets as necessary).  
\_\_\_\_\_  
\_\_\_\_\_

Volunteer Applicant: \_\_\_\_\_

Institution: \_\_\_\_\_

**INSTITUTION USE ONLY**

NEW VOLUNTEER      RENEWAL

5. Have you ever been arrested and/or convicted of any offense?    No      Yes *(If yes, list all detentions, arrests, and/or convictions. Attach additional sheet(s), if necessary.)*

Offense	Approx. Date	Disposition (Dismissed, Probation, Jail, Prison, etc.)	County	State	Country

6. Are you currently on parole or probation?    No      Yes *(If yes, shall be one year free of illegal activity, submit approval letter from RPA or designee, list name, telephone number and county of parole agent/probation officer)*

\_\_\_\_\_

7. Are you discharged from prison or parole?    No      Yes *(If yes, shall be one year free of illegal activity, list date of discharge, name of institution, and attach letter addressed to the Warden outlining the circumstances below.)*

\_\_\_\_\_

*(If information is not disclosed or inaccurate information is provided, your application may not be approved)*

I certify that:

- No salaries, wages, or unemployment benefits are to be paid for volunteer services.
- There is no Worker's Compensation provided.
- Use of State supplies may be permitted when directed to do so.
- I must attend any required training as directed.
- I have read and understand the CDCR Primary Laws, Rules, and Regulations Regarding Conduct and Association with State Prison Inmates (CDCR Form 181).
- I authorize CDCR to obtain information from law enforcement sources regarding my criminal history.
- I understand that I must notify the Community Resources Manager immediately in the event there is any change to any of the information I have provided.

***The information you provide is entered and stored in a secure electronic database for a minimum of three years. By signing this application, you acknowledge and agree to this process.***

\_\_\_\_\_  
 Applicant's Signature

\_\_\_\_\_  
 Date

**VOLUNTEERS WITH DISABILITIES:** If you have special requirements related to your disability (medical implants, prosthetic devices or requiring mobility assistive devices, i.e., crutches, walkers, braces, wheelchairs, battery operated or custom prescribed wheelchairs, guide dog for the visually or hearing impaired, insulin kit with syringes, etc.) you will need to attach a verifying statement from your physician. Volunteers with guide dogs will need to provide the dog's certification paperwork upon visit check-in. The CDCR will make every effort to provide reasonable accommodations for all qualified/eligible volunteers with disabilities in keeping with the safety and security of the institution and the public. If you have any questions and/or concerns, please contact the Community Resources Manager.

Volunteer Applicant: \_\_\_\_\_

Institution: \_\_\_\_\_

**INSTITUTION USE ONLY**

NEW VOLUNTEER     RENEWAL

**SECTION II: To be Completed by CDCR Staff**

Purpose of Entry (*Circle specific program*):      Activity Group      Religious

Name of Program: \_\_\_\_\_

Location of Volunteer Service (*List institution and location, example: chapel, Facility A, classroom #, etc.*):  
 \_\_\_\_\_

Duration of volunteer service: (i.e., one, two or more months): \_\_\_\_\_

Day(s) of Week (*Check*):    M    T    W    Th    F    S    Su    Hours \_\_\_\_\_      Escort:     No     Yes

TB Test Required:     No     Yes    (*Annual TB Testing is required for all volunteers with more than 6 months of volunteer service*)

Print Name/Classification \_\_\_\_\_      Signature \_\_\_\_\_      Date \_\_\_\_\_

**COMMUNITY RESOURCES MANAGER**

Reviewed and submitted for background clearance      Signature \_\_\_\_\_      Date \_\_\_\_\_

**CUSTODY STAFF**

NLETS Cleared       No     Yes      Signature \_\_\_\_\_      Date \_\_\_\_\_

NLETS Cleared Date: \_\_\_\_\_

Needs further review

**WARDEN/WARDEN'S DESIGNEE SIGNATURE:**      Signature \_\_\_\_\_      Date \_\_\_\_\_

APPROVED     DISAPPROVED

**FOR USE BY CRM ONLY**

**GATE CLEARANCE ONLY**   

Background clearance (NLETS) Date: \_\_\_\_\_

Live Scan Date/Location: \_\_\_\_\_  
 \_\_\_\_\_  
 (*Required after six months of volunteer service*)

Verification of TB Test provided:

Yes     No     N/A (*If less than 6 months*):

Date: \_\_\_\_\_

Copy of Volunteer Emergency Notification (CDC-894) sent to:

Control	<input type="checkbox"/> No	<input type="checkbox"/> Yes
Watch Office	<input type="checkbox"/> No	<input type="checkbox"/> Yes

**FOR USE BY PERSONNEL ONLY**

**VOLUNTEER IDENTIFICATION CARD (ID CARD)**   

Title: **VOLUNTEER** (*For all volunteer ID Cards*)

Live Scan: \_\_\_\_\_  
 \_\_\_\_\_  
 (*Date/Location required after six months of volunteer service*)

Date ID Card Issued: \_\_\_\_\_

ID Card Expiration Date: \_\_\_\_\_

Thumb Print Date: \_\_\_\_\_

ID Picture Date: \_\_\_\_\_

Copy of Volunteer Emergency Notification (CDC-894) sent to:

Control	<input type="checkbox"/> No	<input type="checkbox"/> Yes
Watch Office	<input type="checkbox"/> No	<input type="checkbox"/> Yes

Comments:

STATE OF CALIFORNIA  
**PRIMARY LAWS, RULES, AND REGULATIONS REGARDING  
CONDUCT AND ASSOCIATION WITH STATE PRISON INMATES  
CDCR 181 (Rev.10/14)**

**ATTACHMENT B**

DEPARTMENT OF CORRECTIONS AND REHABILITATION

Individuals who are not employees of the California Department of Corrections and Rehabilitation (CDCR), but who are working in and around inmates who are incarcerated within California's institutions/facilities or camps, are to be apprised of the laws, rules and regulations governing conduct in associating with prison inmates, Title 15, Section 3285. The following is a summation of pertinent information when individuals not employed by the department (volunteers, media, contractors and their employees and dignitaries) come in contact with prison inmates.

1. Persons who are not employed by CDCR, but are engaged in work at any institution/facility or camp must observe and abide by all laws, rules and regulations governing the conduct of their behavior in associating with prison inmates. Failure to comply with these guidelines may lead to expulsion from CDCR institutions/facilities or camps.  
SOURCE: California Penal Code (PC) Sections 5054 and 5058; California Code of Regulations (CCR), Title 15, Sections 3283, 3285, 3289, 3292 and 3415
2. CDCR does not recognize hostages for bargaining purposes. CDCR has a "NO HOSTAGE" policy and all prison inmates, visitors, non-employees and employees shall be made aware of this.  
SOURCE: PC Sections 5054 and 5058; CCR, Title 15, Section 3304
3. All persons entering onto institution/facility or camp grounds consent to a search of their person, property or vehicle at any time. Refusal by individuals to submit to a search of their person, property or vehicle may be cause for denial of access to the premises or restrictions to visiting or facility access.  
SOURCE: PC Sections 2601, 5054 and 5058; CCR, Title 15, Sections 3173, 3267, 3288, 3289, and 3292.
4. Persons normally permitted to enter an institution/facility or camp may be barred, for cause, by the CDCR Secretary, Director of Division of Adult Institutions (DAI), Warden, Regional Parole Administrator and /or their designees.  
SOURCE: PC Sections 2086, 5054 and 5058; CCR, Title 15, Sections 3283 and 3289
5. It is illegal for an individual who has been previously convicted of a felony offense to enter into CDCR institutions/facilities or camps without the prior approval of the Warden. It is also illegal for an individual to enter onto these premises for unauthorized purposes or to refuse to leave said premises when requested to do so. Failure to comply with this provision could lead to prosecution.  
SOURCE: PC Sections 602, 4570.5 and 4571; CCR, Title 15, Sections 3173, 3283 and 3289
6. Encouraging and/or assisting prison inmates to escape is a crime. It is illegal to bring firearms, deadly weapons, explosives, tear gas, drugs or drug paraphernalia on CDCR institutions/facilities or camp premises. It is illegal to give prison inmates firearms, explosives, alcoholic beverages, wireless communication devices or components thereof, tobacco products, narcotics, or any drug or drug paraphernalia, including cocaine or marijuana.  
SOURCE: PC Sections 2772, 2790, 4535, 4550, 4573, 4573.5, 4573.6, 4574, 4576 and 5030.1; CCR, Title 15, Sections, 3172.1, 3188 and 3292
7. It is illegal to give or take letters from prison inmates without the authorization of the Warden. It is also illegal to give or receive any type of gift and/or gratuities from prison inmates.  
SOURCE: PC Sections 2540, 2541 and 4570; CCR, Title 15, Sections 3010, 3399, 3401, 3424 and 3425
8. In an emergency situation the visiting program and other inmate program activities may be suspended by the Warden or designee.  
SOURCE: PC Sections 2086 and 2601; CCR, Title 15, Section 3383
9. For security reasons, volunteers, media, contractors, dignitaries and guests must not wear clothing that in any way resembles state issued prison inmate clothing (blue denim shirts, blue denim pants).  
SOURCE: CCR, Title 15, Sections 3174 and 3349.2.3(g) (3) (B)
10. Interviews with SPECIFIC INMATES are not permitted. Conspiring with an inmate to circumvent policy and/or regulations constitutes a rule violation that may result in appropriate legal action.  
SOURCE: CCR, Title 15, Section 3261.5

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I HEREBY CERTIFY AND ACKNOWLEDGE I HAVE READ THE ABOVE AND FULLY UNDERSTAND THE IMPLICATIONS REGARDING MY CONDUCT AND ASSOCIATION WITH CDCR INMATES. I ALSO UNDERSTAND VIOLATION OF ANY OF THE ABOVE COULD RESULT IN EXPULSION FROM A CDCR INSTITUTION/FACILITY OR CAMP WITH THE POSSIBILITY OF CRIMINAL PROSECUTION.

VOLUNTEER/MEDIA/CONTRACTOR/GUEST NAME AND TITLE (Print)	SIGNATURE	DATE SIGNED
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**DISTRIBUTION:** Original – Warden, Parole Administrator *and/or designee*

**EMERGENCY NOTIFICATION INFORMATION**  
CDCR 894 (Rev. 09/19)

**ATTACHMENT C**

Employees are responsible for ensuring this form is updated when changes occur. The person(s) to be notified in case of emergency should be over the age of 18.

EMPLOYEE'S NAME (LAST, FIRST, MIDDLE):		LAST 4 DIGITS OF SOCIAL SECURITY NUMBER (FOR ID PURPOSES ONLY):	
HOME ADDRESS (STREET NUMBER AND NAME, CITY, STATE, AND ZIP CODE):			
HOME PHONE NUMBER:	WORK PHONE NUMBER:	CELL PHONE NUMBER:	
INSTITUTION/FACILITY/PROGRAM AREA AND UNIT:		PERSONAL EMAIL ADDRESS:	
<b>PERSON TO BE NOTIFIED IN CASE OF EMERGENCY (over the age of 18)</b>			
NAME (LAST, FIRST, MIDDLE):		RELATIONSHIP:	
HOME ADDRESS (STREET NUMBER AND NAME, CITY, STATE, AND ZIP CODE):			
HOME PHONE NUMBER:	WORK PHONE NUMBER:	CELL PHONE NUMBER:	
<b>ALTERNATE PERSON TO BE NOTIFIED IN CASE OF EMERGENCY (over the age of 18)</b>			
NAME (LAST, FIRST, MIDDLE):		RELATIONSHIP:	
HOME ADDRESS (STREET NUMBER AND NAME, CITY, STATE, AND ZIP CODE):			
HOME PHONE NUMBER:	WORK PHONE NUMBER:	CELL PHONE NUMBER:	
<b>MEDICAL INFORMATION</b>			
PERSONAL PHYSICIAN'S NAME:		PHONE NUMBER:	
MEDICAL PLAN NAME:	MEDICAL PLAN CARD NUMBER:	MEDICAL FACILITY NAME AND LOCATION:	
SPECIAL MEDICAL CONDITIONS (ALLERGIES, ETC.):			
SPECIAL INSTRUCTIONS:			
EMPLOYEE'S SIGNATURE:			DATE:

This information will be kept confidential and used for emergencies only. This form will be filed in your Official Personnel File (OPF) and in the supervisory file.

DISTRIBUTION Original: OPF Copy: Supervisor File

<b>PERSONNEL OFFICE USE</b>	
REVIEWER'S PRINTED NAME:	
BIS KEY DATE:	PHONE NO.:

# EMPLOYEE TUBERCULIN SKIN TEST (TST) AND EVALUATION

## CDCR 7336 (Rev. 03/20)

### ATTACHMENT D

#### Confidential Employee Medical Information

**INSTRUCTIONS:** Tuberculosis (TB) screening must be performed by a licensed health care provider whose legally authorized scope of practice allows them to conduct medical examinations and/or the Mantoux TST in accordance with the recommendations of the Centers for Disease Control and Prevention (CDC) to determine if a person has TB infection or disease.

**Employee** (Complete Section 1. Type or print clearly.)

Section 1 Employee Information	
Employee Full Name (First, MI, Last)	Gender <input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Non-binary
Birthdate (MM/DD/YYYY)	PERNR New Employee/Cadet? <input type="checkbox"/> Yes <input type="checkbox"/> No
Institution/Facility/Program	Unit/Location Department (If not CDCR)
Employee Signature	Date

**Health Care Provider** (Complete Sections 2–7, as required. See instructions on Page 2 of 2.)

Section 2 TB History and Treatment (Private providers, please attach documentation of prior history.)
History of treatment of TB infection or disease: <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, complete Section 6. Date of results of previous TST: _____ Induration _____ mm <input type="checkbox"/> Not applicable Date and results of previous Interferon-Gamma Release Assay (IGRA): _____ <input type="checkbox"/> Not applicable Treatment: <input type="checkbox"/> No <input type="checkbox"/> Yes If yes, type of drug prescribed: _____ Start and stop dates of drug: _____

**Notice: HIV and other medical conditions may cause a TST to be negative when TB infection is present.**

Section 3 Tuberculin Skin Test (TST) Administration				
TST <input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Tubersol Lot #: _____ <input type="checkbox"/> Aplisol Expiration Date: _____	TST Administered By (Print Name)	Signature	Date
Injection Site <input type="checkbox"/> Left Forearm (LFA) <input type="checkbox"/> Right Forearm (RFA)	Injection Date and Time	Interpretation <input type="checkbox"/> Positive <input type="checkbox"/> Negative	TST Result Induration: _____ mm	Date and Time of Symptom Evaluation

Section 4 TB Blood Test			
TB Blood Test <input type="checkbox"/> Yes <input type="checkbox"/> No	TB Blood Draw Date and Time	TB Blood Test Results <input type="checkbox"/> Positive <input type="checkbox"/> Negative	Date and Time of Results
TB Blood Test Administered By (Print Name)		Signature	Date

Section 5 Evaluation for Signs and Symptoms (Complete for all individuals.)	
<input type="checkbox"/> No Symptoms	Symptoms (Check all that apply) <input type="checkbox"/> Persistent Cough (>2 Weeks) <input type="checkbox"/> Unexplained Weight Loss <input type="checkbox"/> Unexplained Fever <input type="checkbox"/> Unexplained Fatigue <input type="checkbox"/> Unexplained Night Sweats <input type="checkbox"/> Other: _____

Section 6 Chest X-Ray (Complete for all positive TB test results, as required by the CDC.)	
Chest X-ray Report <input type="checkbox"/> On File <input type="checkbox"/> Copy Attached <input type="checkbox"/> Chest X-Ray Needed	Chest X-Ray Results <input type="checkbox"/> Normal <input type="checkbox"/> Abnormal <input type="checkbox"/> Consistent with TB

Section 7 Evaluation			
<input type="checkbox"/> Employee Referred for Follow-Up Medical Evaluation		<input type="checkbox"/> Employee Provided Written Notification of TB Screening Results	
<b>Comments:</b>			
<input type="checkbox"/> <b>EMPLOYEE IS FREE OF INFECTIOUS TUBERCULOSIS</b>			
Licensed Evaluator (Print Name)	License Number	Licensed Evaluator Signature	Date

**EMPLOYEE TUBERCULIN SKIN TEST (TST) AND EVALUATION ATTACHMENT D****CDCR 7336 (Rev. 03/20)**

Page 2 of 2

The California Penal Code, Section 6006 et seq., requires all California Department of Corrections and Rehabilitation (CDCR) employees and certain other individuals to have an initial, annual, and as medically necessary, Mantoux Tuberculin Skin Test (TST) or evaluation. The testing must occur as instructed below. The employee must provide the results of the TST or Tuberculosis (TB) blood test and evaluation on the required Employee Tuberculin Skin Test (TST) and Evaluation (CDCR 7336) form.

**Definitions:**

- **Induration:** Swelling or raised skin. **Note:** The presence of erythema is NOT indicative of a TST reaction; only the induration is measured.
- **Mantoux TST:** Intradermal injection of 0.1 milliliters (ml) of Purified Protein Derivative, 5 Tuberculin Units (TU).
- **Prior TST:** A Mantoux TST in which clearly documented and dated results are available in millimeters (mm).
- **Negative TST Result:** Induration of less than (<) 10 mm if new, or < 5 mm, if contact or known immunocompromised.
- **Positive TST Result:** Induration equal to or greater than (>) 10 mm, OR > 5 mm if contact or known immunocompromised.

CDCR Health Care Providers (HCP) shall not ask CDCR employees about non-TB health history, including immunosuppressive conditions.

The Centers for Disease Control and Prevention (CDC) and the California Tuberculosis Controllers Association recommend the following:

1. The tine test is NOT an acceptable skin test to determine exposure to the TB bacillus. The only acceptable screening methods for detecting TB infection are TB screening tests that are licensed by the Federal Food and Drug Administration (FDA) and recommended by the CDC.
2. A chest X-ray (CXR) cannot be used to definitively diagnose TB. However, a CXR may be used to rule out the possibility of pulmonary TB in a person who has had a positive reaction to a TST or TB blood test and no symptoms of disease.
3. The process for administering, evaluation, and documenting the Mantoux TST are:
  - a) Must be given intradermally.
  - b) 0.1 ml (s) of 5 TU Purified Protein Derivative must be used.
  - c) The test must be interpreted by a qualified HCP.
  - d) Results must be documented in mm(s) of induration.

**Instructions: Employee****Section 1: Complete all items in Section 1.**

- Provide accurate and complete information.
- Ensure the health care provider(s) (HCP) administering and evaluating the TST, including the exam for TB signs and symptoms, completes, signs, and dates the form.
- Advise the HCP to follow the steps below when completing Sections 2 through 7.
- If a CXR is needed, you are required to submit a copy of the CXR report with this form for clearance to be placed in your health record.
- Submit the completed Employee Tuberculin Skin Test (TST) and Evaluation (CDCR 7336) form, in a sealed envelope.

**Instructions: Health Care Provider (HCP)****Section 2: Complete Section 2, if applicable.**

Complete this section if prior TST or TB blood test results and treatment are available. The employee or HCP must provide written documentation including the date test was administered, reaction in mm or IGRA, treatment, and drug administered (if any) start and stop dates.

If documented results are:

- NEGATIVE and more than 30 days old, proceed to Section 3.
- NEGATIVE and less than 30 days old, proceed to Section 5.
- POSITIVE on any date, complete Sections 5, 6, and 7.

If there are no appropriately documented prior TST or TB blood test results, continue to Section 3.

**Section 3:** Administer a new TST and document the test results in Section 3. The HCP administering the TST in Section 3 must sign and date the appropriate blocks. The block identified as "Date and Time of Results" refers to date the employee's TB status is determined.

If documented results are:

- NEGATIVE, complete Sections 5 and 7.
- POSITIVE, complete Sections 5, 6, and 7. A copy of CXR report must be attached for all POSITIVE results.

**Section 4:** Administer a new TB blood test and document the test results in Section 4. The HCP administering the TB blood test must sign and date the appropriate blocks. The block identified as "Date and Time of Results" refers to date the employee's TB status is determined.

If documented results are:

- NEGATIVE, complete Sections 5 and 7.
- POSITIVE, complete Sections 5, 6, and 7. A copy of CXR report must be attached for all POSITIVE results.

If an individual claims to have a prior positive TB blood test or TST, but is unable to provide appropriate documentation, a TST or TB blood test must still be administered. This is not medically contraindicated. However, a diluted TST may be administered by the following method: dilute 0.2 cc of the standard 5 TU/0.1cc solution with 0.8 cc of sterile saline; use 0.1 of the solution to administer the TST. **Note:** This is not a CDCR procedure. If the results are positive, no further testing is necessary. If the administered or documented TB blood test shows a negative result, the employee most likely does not have the TB infection. Factors affecting the immune system, pregnancy, or recent TB infection may cause a false negative TST or TB blood test reaction, even when TB disease exists. If the TB blood test or TST indicates a positive reaction, further medical evaluation and a CXR are required to rule out active TB disease.

**Section 5:** Complete the evaluation for all employees. Three or more symptoms warrant special concern.

**Section 6:** Complete this section for individuals with a prior documented or newly significant TST or TB blood test. If a prior CXR report is on file, attach a copy of the CXR report to this form and mark the applicable results. If the individual does not have CXR report on file, administer a CXR, attach a copy of the report, and check the applicable results. The CXR report is required by CDC.

**Section 7:** The HCP, Physician, Surgeon or licensed designee evaluating for TB signs and symptoms must complete this section. Evaluators may note comments, as necessary. Check the box if the employee is free of infectious TB, print name, enter license number, sign, and date this section.

After evaluation or treatment, provide the original completed and signed CDCR 7336 form to the employee for return to CDCR.



Applicants, current employees, volunteers and employees from other state agencies who work in the California Department of Corrections and Rehabilitation (CDCR) facilities or with CDCR inmates (as defined in Penal Code Section 6006 et seq.) are required to be evaluated for tuberculosis (TB) and certified to be free of TB in an infectious or contagious stage prior to assuming duties with CDCR, and at least annually thereafter. Evaluation shall be done by a licensed physician and surgeon or his/her licensed designee whose legally authorized scope of practice he allows him/her to conduct examinations for TB under physician supervision; in accordance with the most current recommendations of the Centers for Disease Control and Prevention. Certificates shall be submitted to and maintained by CDCR.

**CERTIFIED TO BE FREE OF INFECTIOUS TB**

PATIENT FULL NAME AS IT APPEARS ON STATE PAYCHECK (TYPE OR PRINT CLEARLY)	BIRTHDATE (FOR IDENTIFICATION PURPOSES ONLY)
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I, \_\_\_\_\_, a physician and  
PRINT OR TYPE PHYSICIAN NAME AND TITLE

surgeon licensed by the Medical Board or Osteopathic Medical Board of California, or my licensed designee, have \*evaluated the patient, identified above, and **CERTIFY** he/she is free of tuberculosis in an infectious or contagious stage.

(\* IF EVALUATION INCLUDES A TB SKIN TEST [PREFERRED, AND REQUIRED IF NEITHER **WRITTEN MM OR BLOOD TEST DOCUMENTATION** OF A PRIOR POSITIVE NOR CURRENT TB BLOOD TEST RESULTS], THE MANTOUX INTRADERMAL METHOD WITH A STANDARD DOSE OF PURIFIED PROTEIN DERIVATIVE MUST BE USED.)

LICENSED EVALUATOR OR PHYSICIAN SIGNATURE (AS APPROPRIATE)	DATE	TELEPHONE NUMBER
	LICENSED EVALUATOR NAME AND TITLE IF DIFFERENT FROM ABOVE (PRINT)	
LICENSE #	ADDRESS	

CDCR 7354 (Rev. 07/15)

***NOTICE TO PHYSICIANS***

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**CONFIDENTIAL EMPLOYMENT MEDICAL INFORMATION**

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**DEFINITIONS:**

**PHYSICIAN AND SURGEON:** An individual licensed by either the Medical Board of California or the Osteopathic Medical Board of California.

**LICENSED DESIGNEE:** An individual who the physician and surgeon designates to conduct the required examination in his/her place, and whose legally authorized scope of practice allows him/her to conduct examinations for TB under physician supervision.

**INSTRUCTIONS: EMPLOYEE**

Complete the top portion of the form; clearly print your legal name and BIRTHDATE (FOR THE IDENTIFICATION PURPOSE ONLY).

**INSTRUCTION: HEALTHCARE PROVIDER**

After completing the required examination (as directed on the back of the CDCR Form 7336 "Employee TST and Evaluation"), and completing and signing that form;

- Print the name and title of the supervising physician where indicated.
- The physician or designated evaluator (whoever completes the examination) should sign in the appropriate box. If a designated evaluator, complete the boxes "Evaluator Name and Title, License #"
- Date the form; complete the boxes for the telephone number and address.

## CERTIFICATION OF VOLUNTEER PARTICIPATION CDCR 1049 (Rev. 08/08)

**To be completed by the volunteer's supervisor/sponsor at completion of the volunteer service agreement or termination.**

<i>Please Print</i>			
Volunteer Name:	Supervisor/Sponsor Name:		
Address:	Institution/Headquarters/Parole Unit:		
		Telephone Number:	Unit/Division:
Telephone Number (Home):	Telephone Number (Work):	Area Where Volunteer Provided Service:	

Describe duties performed: special skills/credentials held, equipment or tools used.

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Length of Service: FROM: \_\_\_/\_\_\_/\_\_\_ TO: \_\_\_/\_\_\_/\_\_\_

Did the volunteer supervise inmates? Yes  No  If Yes, how many \_\_\_\_\_

Performance Rating: Excellent  Good  Needs improvement  Unsatisfactory

If dismissed, give reason:

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\_\_\_\_\_  
VOLUNTEER'S SIGNATURE

\_\_\_\_\_  
DATE SIGNED

\_\_\_\_\_  
SUPERVISOR/SPONSOR'S SIGNATURE

\_\_\_\_\_  
DATE SIGNED

**CANDIDATE/EMPLOYEE INFORMATION**

Name (Print First and Last)		Institution/Facility/Program	
Check one:			
<input type="checkbox"/>	Applying for a position.	Proposed classification:	
<input type="checkbox"/>	Reporting a relationship.	Current classification:	
<input type="checkbox"/>	Other:	Current classification:	

**Department Operations Manual (DOM) Section 33010.25, Nepotism and Fraternization**

The Department has established policies to counteract nepotism and fraternization in the workplace.

**(a) Policy**

It is the policy of CDCR to recruit, hire, and assign all employees on the basis of merit and fitness in accordance with civil service statutes, rules, and regulations. This policy is intended to uphold the merit principle of civil service by preventing and prohibiting preferential treatment or bias due to personal relationships. Nepotism is antithetical to a merit-based personnel system and staff shall not use their personal relationships to aid or hinder others in the employment setting. CDCR reserves the right to initiate mandatory reassignments, employee transfer, or take other administrative action to avoid or correct situations where the potential for employment decisions based on nepotism exists.

**(b) Personal Relationship Defined**

For purposes of this section, personal relationships include, but are not limited to, an association with another individual by blood, adoption, foster arrangement, cohabitation, current or previous marriages (including in-laws), registered domestic partnership, or romantic relationships.

**(c) Hiring Authority, Manager, or Supervisor Responsibilities**

The hiring authority, managers, or supervisors must ensure their candidates and employees are aware of the departmental nepotism and fraternization policy, including reporting requirements. The hiring authority, manager, or supervisor shall consider the nepotism and fraternization policy prior to making employment decisions. The hiring authority, manager, or supervisor must inform candidates of the nepotism and fraternization policy at the time of interview. As part of the interview process for any position, regardless of whether the candidate is a current employee, each candidate shall be required to sign a CDCR Form 8019, Nepotism and Fraternization Policy Acknowledgement form to confirm their understanding of this policy. In addition, the hiring authority, manager, or supervisor must take appropriate action to correct violations of this policy. The hiring authority, manager, or supervisor is responsible for requesting an exception/appeal to the policy if necessary (refer to Exception/ Appeal Procedures below). Exceptions/appeals to the policy may be granted under limited circumstances.

**(d) Employee Responsibilities**

- (1) Upon hire employees shall complete and submit a CDCR Form 8019 to their hiring authority, manager, or supervisor.
- (2) Employees shall immediately notify the hiring authority or their respective supervisor when an employment decision is in conflict with the departmental nepotism and fraternization policy. It is the employee's responsibility to read and adhere to the nepotism and fraternization policy.

**(e) Employment Settings**

- (1) Employment settings refer to the working relationships of employees and their supervisors. Employees involved in personal relationships may work in the same program, section, or unit as the person with whom they have a personal relationship, however, in accordance with applicable state employment laws and collective bargaining agreements employment settings shall not exist where an employee would:
  - (A) Work for the same first-line supervisor as the person with whom they have a personal relationship.
  - (B) Have a direct (first line supervisor), or indirect (second line supervisor) supervisory relationship as the person with whom they have a personal relationship.
  - (C) Work under a hiring authority with whom they have a personal relationship, regardless of departmental separation.

**(f) Employment Decisions**

- (1) Employment decisions refer to the full array of assessments and actions that involve CDCR and employees and their employment. Employees involved in personal relationships may work in the same program, section, or unit as the person with whom they have a personal relationship, however, employment decisions shall not be made where an employee involved in a personal relationship would:
  - (A) Audit the work of, or exercise fiscal control over a person with whom they have a personal relationship, regardless of organizational separation.
  - (B) Hire, promote, transfer, or approve an out-of-class, or re-assignment of a person with whom they have a personal relationship.
  - (C) Participate in the selection process, including assisting with the development of screening criteria and/or interview questions, or serve on a hiring panel of a person with whom they have a personal relationship.
  - (D) Develop, administer, or rate a civil service examination of a person with whom they have a personal relationship.
  - (E) Initiate an administrative investigation or be involved in the discipline process of a person with whom they have a personal relationship.
  - (F) Assign work to a person with whom they have a personal relationship, except in a rare emergency situation.
  - (G) Prepare, conduct, or contribute information on a performance appraisal of a person with whom they have a personal relationship.
  - (H) Approve overtime or any other compensated time/pay of a person with whom they have a personal relationship, when it is on a voluntary basis and another supervisor is available.
  - (I) Approve vacation, sick, or any other type of leave of a person with whom they have a personal relationship, when another supervisor is available.
  - (J) Grant or deny permission to attend a conference or other work-related event of a person with whom they have a personal relationship.
  - (K) Approve reimbursement for work related expenses of a person with whom they have a personal relationship.
  - (L) Adversely affect or influence the safety, security, or morale of employees of a program, section, or unit.
  - (M) Adversely affect or influence the fair and impartial supervision and evaluation of employees.

**(g) Exception/Appeal Procedures**

- (1) When the employment setting or employment decision violates the departmental nepotism and fraternization policy, the hiring authority, manager, or supervisor shall request and receive approval prior to making an employment decision. Actions to remediate noncompliance may include an involuntary transfer of employees, in accordance with applicable state employment laws and collective bargaining agreements. Under no circumstances should an employee participate in the defined employment decisions with an employee, applicant, or candidate with whom they have a personal relationship.
- (2) The exception/appeal procedures are as follows:
  - (A) A written request shall be submitted through the immediate manager or supervisor to the hiring authority, which clearly defines the personal relationship, and the benefit(s) to the State that an exception/appeal would provide (e.g., overcoming a recruitment difficulty or obtaining a uniquely skilled person).
    1. For CDCR: Exception/appeal requests involving the hiring authority (Regional Administrator, Deputy Director, Superintendent, etc.) shall be submitted to the next higher level within the hiring authority's chain of command or equivalent, and then to the applicable second higher level within the hiring authority's chain of command or equivalent to render a decision.
    2. For CDCR: Exception/appeal requests involving the Warden shall be submitted to the applicable Associate Director or equivalent, then to the applicable Deputy Director or equivalent, and then to the applicable Director or equivalent to render a decision.

3. For CCHCS: Exception/appeal requests involving the hiring authority shall be submitted to the next level within the hiring authority's chain of command. All exception/appeal requests shall be reviewed by the CCHCS Office of Legal Affairs via the Deputy Director, Human Resources, to render a decision.
- (B) Each exception/appeal request shall be reviewed to assess the potential for, and degree of impact upon the following:
1. Safety, security, and morale of the employees in the program, section, or unit.
  2. Fair and impartial supervision and evaluation of the employee by the supervisor in the program, section, or unit.
  3. Basis of merit and fitness in accordance with civil service statutes, rules, and regulations.
- (C) A written response to the exception/appeal request will be completed within ten (10) working days.
1. If the exception/appeal request is approved, a copy of the approved document(s) shall be forwarded to the appropriate personnel officer. The personnel officer shall place a copy of the approval document(s) in the hiring and recruitment package and in the respective employee's official personnel file.
  2. If an exception/appeal is granted, there shall not be any employment decisions made by the related employees. Another manager or supervisor shall be responsible for employment decisions except in an extremely rare documented circumstance.
  3. If the exception/appeal request is denied, a written explanation of the basis for the denial, shall be provided to the candidate or employee. A copy of the denial document(s) shall be forwarded to the appropriate personnel officer. The personnel officer shall place a copy of the denial document(s) in the hiring and recruitment package, and if applicable, into the respective employee official personnel file. Every effort shall be made to avoid relocation expenses. If an employee must relocate to meet the Department's nepotism and fraternization policy, the Department shall pay any associated relocation expenses. (Refer to the CalHR Rules and Regulations.)

**(h) Retention**

All Nepotism and Fraternization forms, and any exception/appeal approvals or denials, shall be forwarded to the personnel officer for filing in either the official personnel file or the hiring and recruitment file.

**CANDIDATE/EMPLOYEE ACKNOWLEDGEMENT**

I acknowledge that I have read and understand the nepotism and fraternization policy as stated in DOM Section 33010.25, Nepotism and Fraternization.			
Check one:			
<input type="checkbox"/> I do not have any relative(s) or person(s) with whom I have a personal relationship employed by CDCR.			
<input type="checkbox"/> I have the following relative(s) or person(s) with whom I have a personal relationship employed by CDCR. <b>If checked, complete the information below. Use the back of this form if additional space is needed.</b>			
Name	Relationship	Institution/Program/Section/Unit	Classification
1.			
2.			
3.			
Signature		Date	

**HIRING AUTHORITY, MANAGER, OR SUPERVISOR INFORMATION**

Name (Print First and Last)	Classification
Review DOM Section 33010.25 to determine whether the personal relationship(s) listed above are in conflict with the nepotism and fraternization policy.	
Check one:	
<input type="checkbox"/> No conflict.	
<input type="checkbox"/> Conflict: Relationship #1: <input type="checkbox"/> Relationship #2: <input type="checkbox"/> Relationship #3: <input type="checkbox"/> All Relationships: <input type="checkbox"/> <b>Submit this form with a written request for exception/appeal to the hiring authority or designee.</b>	
Signature	Date

**HIRING AUTHORITY OR DESIGNEE INFORMATION**

Name (Print First and Last)	Classification
Review DOM Section 33010.25 to determine whether an exception/appeal to the nepotism and fraternization policy is approved or denied.	
Check one:	
<input type="checkbox"/> Exception/appeal approved.	
<input type="checkbox"/> Exception/appeal denied. State the reason for denial:	
Signature	Date

**PREA POLICY INFORMATION FOR VOLUNTEERS AND CONTRACTORS PART A**  
**CDCR 2301 (Rev. 05/20)****ATTACHMENT H**  
Page 1 of 3

The Prison Rape Elimination Policy for the California Department of Corrections and Rehabilitation (CDCR) is explained on this informational sheet. As a volunteer or private contractor who has contact with CDCR offenders, it is your responsibility to do what you can, within the parameters of your current assignment, to reduce incidents of sexual violence, staff sexual misconduct, and sexual harassment and to report information appropriately when they are reported to you or when you observe such an incident. For purposes of this Policy, the word "staff" includes volunteers and private contractors.

**Historical Information**

Both the Congress and State Legislature passed laws, the Federal Prison Rape Elimination Act (PREA) of 2003, the Sexual Abuse in Detention Elimination Act, Chapter 303, Statutes of 2005, and most recently the United States, Department of Justice Final Rule; National Standards of 2012 to help prevent, detect, and respond to sexual violence, staff sexual misconduct, and sexual harassment behind bars. It is important that we, as professionals, understand all aspects of these laws and our responsibilities to help prevent, detect, and respond to instances by offenders and staff.

**CDCR Policy**

The CDCR policy is found in Department Operations Manual (DOM), Chapter 5, Article 44. PREA addresses five types of sexual offenses. Sexual violence committed by offenders against offenders encompasses: abusive sexual contact, non-consensual sex acts, and sexual harassment by an offender. Other sections covered by PREA include staff sexual misconduct towards an offender and staff sexual harassment towards an offender.

CDCR's policy provides for the following:

- CDCR is committed to continuing to provide a safe, humane, secure environment, free from offender on offender sexual violence, staff sexual misconduct, and sexual harassment.
- CDCR maintains zero tolerance for sexual violence, staff sexual misconduct, and sexual harassment in its institutions, community correctional facilities, conservation camps, and for all offenders under its jurisdiction.
- All sexual violence, staff sexual misconduct, and sexual harassment is strictly prohibited.
- This policy applies to all offenders and persons employed by the CDCR, including volunteers and independent contractors assigned to an institution, community correctional facility, conservation camp, or parole.

Retaliatory measures against employees or offenders who report incidents of sexual violence, staff sexual misconduct, or sexual harassment as well as retaliatory measures taken against those who cooperate with investigations shall not be tolerated and shall result in disciplinary action and/or criminal prosecution. Retaliatory measures include, but are not limited to:

- Coersion.
- Threats of punishments.
- Any other activities intended to discourage or prevent staff or offenders from reporting incident(s).

**Professional Behavior**

Staff, including volunteers and private contractors are expected to act in a professional manner while on the grounds of a CDCR institution and while interacting with other staff and offenders. Key elements of professional behavior include:

- Treating everyone, staff and offenders alike, with respect.
- Speaking without judging, blaming, or being demeaning.
- Listening to others with an objective ear and trying to understand their point of view.
- Avoiding gossip, name calling, and what may be perceived as offensive or "off-color" humor.
- Taking responsibility for your own behavior.

**Preventative Measures**

You can help reduce sexual violence, staff sexual misconduct, and sexual harassment by taking various actions during the performance of your duties as a volunteer or private contractor.



**PREA POLICY INFORMATION FOR VOLUNTEERS AND CONTRACTORS PART A**  
CDCR 2301 (Rev. 05/20)

**ATTACHMENT H**  
Page 2 of 3

The following are ways in which you can help:

- Know and enforce the rules regarding the sexual conduct of offenders.
- Be professional at all times.
- Make it clear that sexual activity is not acceptable.
- Treat any suggestion or allegation of sexual violence, staff sexual misconduct, and sexual harassment as serious.
- Follow appropriate reporting procedures and assure that the alleged victim is separated from the alleged predator.
- Never advise an offender to use force to repel sexual advances.

**Detection**

All staff, including volunteers and private contractors, is responsible for reporting immediately and confidentially to the appropriate supervisor any information that indicates an offender is being, or has been, the victim of sexual violence, staff sexual misconduct, or sexual harassment.

After immediately reporting to the appropriate supervisor, you are required to document the information you reported. You will be instructed by the supervisor regarding the appropriate form to be used for documentation.

You will take necessary action (i.e., give direction or press your alarm) to prevent further harm to the victim. Staff, including volunteers and private contractors, will request the victim does not: 1) Shower; 2) Remove clothing without custody supervision; 3) Use the restroom facilities; and 4) Consume any liquids.

*I have read the information above and understand my responsibility to immediately report any information that indicates an offender is being, or has been, the victim of sexual violence, staff sexual misconduct, or sexual harassment.*

\_\_\_\_\_  
Volunteer/Contractor Name (Printed)

\_\_\_\_\_  
Date Signed

\_\_\_\_\_  
Signature of Volunteer/Contractor

\_\_\_\_\_  
Current Assignment within Institution

\_\_\_\_\_  
Contact Telephone Number

\_\_\_\_\_  
Supervisor in Current Assignment

**PREA POLICY INFORMATION FOR VOLUNTEERS AND CONTRACTORS PART B**  
**CDCR 2301 (Rev. 05/20)**

**ATTACHMENT H**  
 Page 3 of 3

**PART B shall only be completed by contractors who, in the course of their assigned duties, have contact with inmates.**

**Duty to Report**

You are required to answer the following questions:

- 1) Have you ever engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, other institution?  
 Yes  No If yes, provide the date of the incident and the facility name in the space below.
- 2) Have you ever been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse?  
 Yes  No If yes, provide the date of the incident and the county in the space below.
- 3) Have you ever been civilly or administratively found to have engaged in the activity described in question (2) above?  
 Yes  No If yes, provide the date of the incident and the county in the space below.
- 4) Have you ever received any disciplinary action as a result of allegations of sexual harassment of an inmate in a prison, jail, lockup, community confinement facility, or other institution?  
 Yes  No If yes, provide the date of the incident and the facility name in the space below.

If you answered "Yes" to any of the questions, please provide the date of the incident and the facility name/county where it occurred:

Date: _____
Facility/County Name: _____

As a contract employee, you have a continuing duty to promptly report, and you are required to notify your employer and the Appointing Authority of the Institution to which you are assigned if the answer to any of the above questions changes.

I hereby certify that there are no misrepresentations, omissions, or falsifications, and that all answers are true and correct. I understand and agree that if any material facts are discovered which differ from those facts stated by me on this form, my services to the California Department of Corrections and Rehabilitation will be discontinued and my contract employer will be notified.

Printed Name: _____	
Signature: _____	Date: _____

## ESSENTIAL FUNCTIONS HEALTH QUESTIONNAIRE

### APPLICANT INFORMATION

LAST NAME	FIRST NAME	SOCIAL SECURITY NUMBER	GENDER <input type="checkbox"/> MALE <input type="checkbox"/> FEMALE
ADDRESS		CITY	STATE   ZIP CODE
DAYTIME TELEPHONE	EVENING TELEPHONE	CLASSIFICATION	HIRING DEPARTMENT

### CONTACT INFORMATION

NAME	TITLE
LOCATION	TELEPHONE

### LIST OF ESSENTIAL FUNCTIONS

*Enter list of essential functions of the job from current duty statement here, or attach duty statement:*

Enter list of essential functions of the job from current duty statement here, or attach duty statement:

**PHYSICAL DEMANDS:** the physical demands described here are representative of those that must be met by a volunteer to successfully perform the essential functions of this job. Reasonable accommodation may be made to enable individuals with disabilities to perform the essential functions.

Standing: Frequently – stands while speaking with inmates, staff, and other volunteers.

Walking: Occasionally – walks to and from parking area, to gate, to the various facilities to perform services/programs.

Sitting: Frequently – sits during programs. There is flexibility for movement on a frequent basis to break sitting with standing and walking.

Lifting: Occasionally – occasionally lifting paperwork/files/materials for program/service.

Carrying: Occasionally – carries paperwork, files and materials for program/service.

Bending/Stooping: Occasionally – bending/stooping occurs when picking up paperwork/files/materials and loading/unloading them from vehicles. Slight bending at the waist and neck occurs on a frequent basis throughout the day.

Reaching in Front of Body: Frequently – placing items on and retrieving items from waist/shoulder level tables.

Climbing: Occasionally – climbs steps throughout the institution in order to get to program space.

Pushing/Pulling: Occasionally may push and pull on binders, equipment, supplies, books as needed.

### ACKNOWLEDGEMENT

*I certify that the duties listed above represent the essential functions of the job and classification listed above.*

SUPERVISOR'S NAME	SUPERVISOR'S SIGNATURE	DATE
PERSONNEL OFFICER'S NAME	PERSONNEL OFFICER'S SIGNATURE	DATE

**APPLICANT'S CERTIFICATION OF ESSENTIAL FUNCTIONS**

*I certify that I have read the essential functions of the job listed on page 1 and considering my current health status (please check one of the boxes below):*

- I am able to perform all of the essential functions of the job without a need for reasonable accommodation.
- I am able to perform all of the essential functions of the job, but will require reasonable accommodation (please describe your requested accommodation in the Reasonable Accommodation section below).
- I am unable to perform one or more of the essential functions of the job, even with reasonable accommodation.
- I am not sure if I am able to perform one or more of the essential functions of the job. I have identified the functional limitations that I believe may limit my ability to perform the essential functions of the job in the Request for Essential Functions Evaluation section below.

**REASONABLE ACCOMMODATION (If necessary, you may attach additional pages)**

*For each essential function of the job for which you require reasonable accommodation, please describe the reasonable accommodation you are requesting:*

**REQUEST FOR ESSENTIAL FUNCTIONS EVALUATION (If necessary, you may attach additional pages)**

*I am not sure whether I have a physical or mental limitation that may prevent or otherwise impair me from performing the essential functions of the job. Below I have listed the essential functions in question and my specific functional limitations that I believe may prevent or otherwise impair me from performing the listed essential functions of the job. I authorize the hiring authority, if necessary, to refer this information to the State Personnel Board's Medical Officer, or his/her delegate, to determine my ability to perform the essential functions of the job with or without reasonable accommodation.*

**ACKNOWLEDGEMENT**

*I certify that the information I have provided concerning my ability to perform the essential functions of the job is true and complete to the best of my knowledge.*

APPLICANT'S NAME (Printortype)

APPLICANT'S SIGNATURE

DATE

**Volunteer minors under the age of eighteen shall have an approved Parent Consent For Participation form on file prior to entering any institution, facility, or camp. Volunteer minors shall be supervised by parent(s)/guardian(s) at all times while on State property.**

*Please Print*  
Parent(s) or Guardian(s) child/children live(s) with: \_\_\_\_\_ If parents are divorced or separated, to whom has physical custody been granted? (Attach verification) \_\_\_\_\_

Father: \_\_\_\_\_ Check One:  Natural  Step  Guardian/Foster  
Home Phone: \_\_\_\_\_ Business Phone: \_\_\_\_\_

Mother: \_\_\_\_\_ Check One:  Natural  Step  Guardian/Foster  
Home Phone: \_\_\_\_\_ Business Phone: \_\_\_\_\_

*Please Print*

Name of Minor: \_\_\_\_\_ Age: \_\_\_\_\_  Male  Female Date(s) of Participation: \_\_\_\_\_

Name of Minor: \_\_\_\_\_ Age: \_\_\_\_\_  Male  Female Date(s) of Participation: \_\_\_\_\_

Name of Minor: \_\_\_\_\_ Age: \_\_\_\_\_  Male  Female Date(s) of Participation: \_\_\_\_\_

I/We the parent(s)/guardian(s) of the minor(s) listed above do hereby give permission for my/our child/children to participate in the scheduled California Department of Corrections and Rehabilitation (CDCR) program or event. Furthermore, I/we understand the institution, facility, or camp may house convicted maximum security felons, and that CDCR does not recognize hostages for bargaining purposes. I/We hereby certify and acknowledge I/we have read the above and fully understand the significance of the information and attachments provided. Furthermore, I/we shall not hold CDCR responsible for any mishap which may occur while my/our child/children participate in this program or event.

\_\_\_\_\_  
PARENT/GUARDIAN SIGNATURE                      DATE                      PARENT/GUARDIAN SIGNATURE                      DATE

*Please Print*  
Name of Supervisor/Sponsor: \_\_\_\_\_ Title: \_\_\_\_\_ Location: \_\_\_\_\_

Telephone Number: \_\_\_\_\_ Unit/Division: \_\_\_\_\_ Work Hours: \_\_\_\_\_

**CERTIFICATE OF ACKNOWLEDGEMENT**

State of California                      )  
County of \_\_\_\_\_ )

On \_\_\_\_\_ before me, \_\_\_\_\_, personally appeared \_\_\_\_\_, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacit(ies), and that by his/her/their signature(s) on the instrument the person(s), or entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

(Notary Seal)

Signature \_\_\_\_\_

**ATTACHMENT K**

**REQUEST FOR LIVE SCAN SERVICE**

**APPLICANT SUBMISSION - PLEASE TYPE WHEN POSSIBLE**

Please complete the form and do not leave any fields blank. If you have questions regarding the information requested, please call 916-255-1025. Fax all Request for Live Scan Service forms to the Office of Peace Officer Selection to 916-255-3302 on the same day the individual is printed. Retain a copy for your records. All individuals must be Live Scanned on a CDCR Live Scan machine including employees, contractors (excluding select contractors), volunteers, and retired peace officers. Contractors not permitted on institution grounds may be sent to outside Live Scan operators.

ORI	TYPE OF APPLICATION (Must Check One)			
A0231	Non-Peace Officer	Peace Officer	Contractor/Volunteer	Retired Peace Officer/CCW Permit

POSITION TITLE OF APPLICANT

CDCR OFFICE/INSTITUTION RECEIVING LIVE SCAN RESULTS	CONTACT NAME	TELEPHONE NUMBER
---	--------------	------------------

NAME OF AGENCY AUTHORIZED TO RECEIVE CRIMINAL HISTORY INFO	MAILING ADDRESS
CA - DEPT OF CORRECTIONS AND REHABILITATION	9838 OLD PLACERVILLE ROAD SUITE B SACRAMENTO, CA 95827

AGENCY BILLING NUMBER	PHONE NUMBER	FAX NUMBER	MAIL CODE
BIL-130109	916-255-1025	916-255-3302	06259

NAME OF APPLICANT	FIRST	MIDDLE	LAST
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APPLICANT GENDER	ALSO KNOWN AS (List all)	APPLICANT SSN	CA DRIVER'S LICENSE NO.
Male Female Nonbinary			

HEIGHT	WEIGHT	EYE COLOR	HAIR COLOR	DATE OF BIRTH (mm/dd/yyyy)	PLACE OF BIRTH (City, State, Country)
--------	--------	-----------	------------	----------------------------	---------------------------------------

APPLICANT HOME ADDRESS (Street, City, State, Zip code)	LIVED AT RESIDENCE	CONTACT NUMBER
	Years      Months	

**Live Scan Operators - Enter the Institution/Facility/Office Acronym Only and Today's Date as MM-DD-YY. Example OCA and Date is NFO 02-14-12.**

OCA AND DATE OF RECEIVING LOCATION	LEVEL OF SERVICE REQUESTING	RESUBMISSION LIST ORIGINAL ATI NO.
	DOJ      FBI      CACI	
LIVE SCAN OPERATOR NAME	TODAY'S DATE	TRANSMITTING AGENCY
ATI NUMBER	AMOUNT COLLECTED/BILLED (CCW Only)	PAYMENT TYPE (CCW Only)
		Cashier's Check      Money Order Personal Check

**I have received and read the included Privacy Notice, Privacy Act Statement, and Applicant's Privacy Rights.**

\_\_\_\_\_  
Applicant Signature

\_\_\_\_\_  
Date

## ATTACHMENT K

### REQUEST FOR LIVE SCAN SERVICE

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#### Privacy Notice

As Required by Civil Code § 1798.17

**Collection and Use of Personal Information.** The California Justice Information Services (CJIS) Division in the Department of Justice (DOJ) collects the information requested on this form as authorized by Business and Professions Code sections 4600-4621, 7574-7574.16, 26050-26059, 11340-11346, and 22440-22449; Penal Code sections 11100-11112, and 11077.1; Health and Safety Code sections 1522, 1416.20-1416.50, 1569.10-1569.24, 1596.80-1596.879, 1725-1742, and 18050-18055; Family Code sections 8700-87200, 8800-8823, and 8900-8925; Financial Code sections 1300-1301, 22100-22112, 17200-17215, and 28122-28124; Education Code sections 44330-44355; Welfare and Institutions Code sections 9710-9719.5, 14043-14045, 4684-4689.8, and 16500-16523.1; and other various state statutes and regulations. The CJIS Division uses this information to process requests of authorized entities that want to obtain information as to the existence and content of a record of state or federal convictions to help determine suitability for employment, or volunteer work with children, elderly, or disabled; or for adoption or purposes of a license, certification, or permit. In addition, any personal information collected by state agencies is subject to the limitations in the Information Practices Act and state policy. The DOJ's general privacy policy is available at <http://oag.ca.gov/privacy-policy>.

**Providing Personal Information.** All the personal information requested in the form must be provided. Failure to provide all the necessary information will result in delays and/or the rejection of your request.

**Access to Your Information.** You may review the records maintained by the CJIS Division in the DOJ that contain your personal information, as permitted by the Information Practices Act. See below for contact information.

**Possible Disclosure of Personal Information.** In order to process applications pertaining to Live Scan service to help determine the suitability of a person applying for a license, employment, or a volunteer position working with children, the elderly, or the disabled, we may need to share the information you give us with authorized applicant agencies.

The information you provide may also be disclosed in the following circumstances:

- With other persons or agencies where necessary to perform their legal duties, and their use of your information is compatible and complies with state law, such as for investigations or for licensing, certification, or regulatory purposes.
- To another government agency as required by state or federal law.

**Contact Information.** For questions about this notice or access to your records, you may contact the Associate Governmental Program Analyst at the DOJ's Keeper of Records at (916) 210-3310, by email at [keeperofrecords@doj.ca.gov](mailto:keeperofrecords@doj.ca.gov), or by mail at:

Department of Justice  
Bureau of Criminal Information & Analysis  
Keeper of Records  
P.O. Box 903417  
Sacramento, CA 94203-4170

## ATTACHMENT K

### REQUEST FOR LIVE SCAN SERVICE

#### Privacy Act Statement

**Authority.** The FBI's acquisition, preservation, and exchange of fingerprints and associated information is generally authorized under 28 U.S.C. 534. Depending on the nature of your application, supplemental authorities include Federal statutes, State statutes pursuant to Pub. L. 92-544, Presidential Executive Orders, and federal regulations. Providing your fingerprints and associated information is voluntary; however, failure to do so may affect completion or approval of your application.

**Principal Purpose.** Certain determinations, such as employment, licensing, and security clearances, may be predicated on fingerprint-based background checks. Your fingerprints and associated information/biometrics may be provided to the employing, investigating, or otherwise responsible agency, and/or the FBI for the purpose of comparing your fingerprints to other fingerprints in the FBI's Next Generation Identification (NGI) system or its successor systems (including civil, criminal, and latent fingerprint repositories) or other available records of the employing, investigating, or otherwise responsible agency. The FBI may retain your fingerprints and associated information/biometrics in NGI after the completion of this application and, while retained, your fingerprints may continue to be compared against other fingerprints submitted to or retained by NGI.

**Routine Uses.** During the processing of this application and for as long thereafter as your fingerprints and associated information/biometrics are retained in NGI, your information may be disclosed pursuant to your consent, and may be disclosed without your consent as permitted by the Privacy Act of 1974 and all applicable Routine Uses as may be published at any time in the Federal Register, including the Routine Uses for the NGI system and the FBI's Blanket Routine Uses. Routine uses include, but are not limited to, disclosures to: employing, governmental, or authorized non-governmental agencies responsible for employment, contracting, licensing, security clearances, and other suitability determinations; local, state, tribal, or federal law enforcement agencies; criminal justice agencies; and agencies responsible for national security or public safety.



## ATTACHMENT K

### REQUEST FOR LIVE SCAN SERVICE

#### Noncriminal Justice Applicant's Privacy Rights

As an applicant who is the subject of a national fingerprint-based criminal history record check for a noncriminal justice purpose (such as an application for employment or a license, an immigration or naturalization matter, security clearance, or adoption), you have certain rights which are discussed below.

- You must be provided written notification<sup>1</sup> that your fingerprints will be used to check the criminal history records of the FBI.
- You must be provided, and acknowledge receipt of, an adequate Privacy Act Statement when you submit your fingerprints and associated personal information. This Privacy Act Statement should explain the authority for collecting your information and how your information will be used, retained, and shared.<sup>2</sup>
- If you have a criminal history record, the officials making a determination of your suitability for the employment, license, or other benefit must provide you the opportunity to complete or challenge the accuracy of the information in the record.
- The officials must advise you that the procedures for obtaining a change, correction, or update of your criminal history record are set forth at Title 28, Code of Federal Regulations (CFR), Section 16.34.
- If you have a criminal history record, you should be afforded a reasonable amount of time to correct or complete the record (or decline to do so) before the officials deny you the employment, license, or other benefit based on information in the criminal history record.<sup>3</sup>

You have the right to expect that officials receiving the results of the criminal history record check will use it only for authorized purposes and will not retain or disseminate it in violation of federal statute, regulation or executive order, or rule, procedure or standard established by the National Crime Prevention and Privacy Compact Council.<sup>4</sup>

If agency policy permits, the officials may provide you with a copy of your FBI criminal history record for review and possible challenge. If agency policy does not permit it to provide you a copy of the record, you may obtain a copy of the record by submitting fingerprints and a fee to the FBI. Information regarding this process may be obtained at <https://www.fbi.gov/services/cjis/identity-history-summary-checks>.

If you decide to challenge the accuracy or completeness of your FBI criminal history record, you should send your challenge to the agency that contributed the questioned information to the FBI. Alternatively, you may send your challenge directly to the FBI. The FBI will then forward your challenge to the agency that contributed the questioned information and request the agency to verify or correct the challenged entry. Upon receipt of an official communication from that agency, the FBI will make any necessary changes/corrections to your record in accordance with the information supplied by that agency. (See 28 CFR 16.30 through 16.34.) *You can find additional information on the FBI website at <https://www.fbi.gov/about-us/cjis/background-checks>.*

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<sup>1</sup> Written notification includes electronic notification, but excludes oral notification

<sup>2</sup> <https://www.fbi.gov/services/cjis/compact-council/privacy-act-statement>

<sup>3</sup> See 28 CFR 50.12(b)

<sup>4</sup> See U.S.C. 552a(b); 28 U.S.C. 534(b); 34 U.S.C. § 40316 (formerly cited as 42 U.S.C. § 14616), Article IV(c)